



Attorney's Docket No.: 07977-208001 / US3511

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki, et al. Art Unit : 2823  
Serial No. : 08/994,038 Examiner : W. David Coleman  
Filed : December 18, 1997  
Title : CHARGE TRANSFER SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD THEREOF

MAIL STOP AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AN	Japan 58-023478 – English Abstract: DIALOG(R) File 352: Derwent (2004); Accession No. 003590859.
AO	Japan 05-152551 – English Abstract: DIALOG(R) File 352: Derwent (2004); Accession No. 009536735.
AP	Japan 07-064114 – English Abstract: DIALOG(R) File 352: Derwent (2004); Accession No. 009942745 and family to European Patent No. 0 605 246 (Desig. ID “AL”).
AQ	Japan 07-094757 – English Abstract: DIALOG(R) File 352: Derwent (2004); Accession No. 12315216 and family to U.S. Patent No. 5,529,937 (Desig. ID “AB”), U.S. Patent No. 6,071,764 (Desig. ID “AF”), U.S. Patent No. 6,077,758 (Desig. ID “AG”), U.S. Patent No. 6,455,401 (Desig. ID “AH”), and U.S. Patent Publication No. 2003/0022467 (Desig. ID “AA”).
AR	Japan 07-183535 – English Abstract: DIALOG(R) File 352: Derwent (2004); Accession No. 010385802 and family to U.S. Patent No. 5,705,829 (Desig. ID “AC”), and U.S. Patent No. 6,624,445 (Desig. ID “AI”).
AS	Japan 07-321339 – English Abstract: DIALOG(R) File 352: Derwent (2004); Accession No. 010130606 and family to U.S. Patent No. 5,882,960 (Desig. ID “AD”), U.S. Patent No. 5,895,933 (Desig. ID “AE”), U.S. Patent No. 6,730,549 (Desig. ID “AJ”), U.S. Patent No. 6,756,657 (Desig. ID “AK”), and European Patent No. 0,631,325 (Desig. ID “AM”).

09/30/2004 JHDD01 00000009 08994038 180.00 DP  
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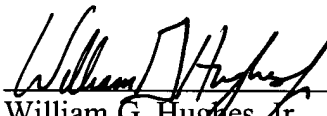
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Page : 2 of 2

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This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated June 29, 2004, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

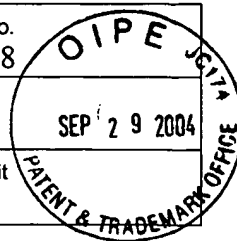
Respectfully submitted,

Date: September 29, 2004

  
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>07977-208001</b>	Application No. <b>08/994,038</b>
	Applicant <b>Shunpei Yamazaki, et al.</b>		
	Filing Date <b>December 18, 1997</b>	Group Art Unit <b>2823</b>	



### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2003/0022467	01/30/2003	ZANG et al.			09/24/2002
	AB	5,529,937	06/25/1996	ZHANG et al.			07/20/1994
	AC	5,705,829	01/06/1998	MIYANAGA et al.			01/26/1996
	AD	5,882,960	03/16/1999	ZHANG et al.			08/15/1997
	AE	5,895,933	04/20/1999	ZHANG et al.			06/03/1997
	AF	6,071,764	06/06/2000	ZHANG et al.			06/28/1995
	AG	6,077,758	06/20/2000	ZHANG et al.			12/18/1996
	AH	6,455,401	09/24/2002	ZHANG et al.			05/23/2000
	AI	6,624,445	09/23/2003	MIYANAGA et al.			05/01/2002
	AJ	6,730,549	05/04/2004	ZHANG et al.			12/29/1998
	AK	6,756,657	06/29/2004	ZHANG et al.			01/28/1999

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	AL	EP0 605 246	06 JUL 1994	EUROPE			In English	
	AM	EP0 631 325	28 DEC 1994	EUROPE			In English	
	AN	JP58-023478	12 FEB 1983	JAPAN			Abstract	
	AO	JP05-152551	18 JUN 1993	JAPAN			Abstract	
	AP	JP07-064114	10 MAR 1995	JAPAN			Abstract	
	AQ	JP07-094757	07 APR 1995	JAPAN			Abstract	
	AR	JP07-183535	21 JUL 1995	JAPAN			Abstract	
	AS	JP07-321339	08 DEC 1995	JAPAN			Abstract	

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AT	
	AU	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	